

Pengfei Ye

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ACADEMIC APPOINTMENT

2015– Now Visiting Assistant Professor, Pamplin College of Business, Virginia Tech
2009–2015 Assistant Professor, Lally School of Management, RPI
2007–2009 Visiting Assistant Professor, Lally School of Management, RPI

EDUCATION

2002–2007 Ph.D., University of Wisconsin – Milwaukee
1998–2001 M.S., Shanghai University of Finance and Economics
1993–1997 B.S., Beijing Institute of Business

RESEARCH INTERESTS

Institutional Investors, Empirical Asset Pricing, Anomalies and Market Efficiency, Managerial Incentives, Executive Talent Market, Mergers and Acquisitions, China's Markets

PUBLISHED/ACCEPTED PAPERS

Relative Peer Quality and Firm Performance. 2016, *Journal of Financial Economics* 122, 196–219. (with Bill Francis, Iftekhhar Hasan, Suresh Mani).

Does the Disposition Effect Matter in Corporate Takeovers? Evidence from Institutional Investors of Target Companies. 2014, *Journal of Financial and Quantitative Analysis* (JFQA) 49, 221–248.

The Value of Active Investing: Can Active Institutional Investors Remove Excess Comovement of Stock Returns? 2012, *Journal of Financial and Quantitative Analysis* (JFQA) 47, 667–688.

Mutual Fund Herding in Response to Hedge Fund Herding and the Impacts on Stock Prices. 2014, *Journal of Banking and Finance* 49, 131–148. (With Yawen Jiao)

Pension Fund Ownership and Firm Performance. 2013, *Review of Quantitative Finance and Accounting* 40, 571–590. (With Yawen Jiao)

WORKING PAPERS

Compensation Contagion (with Gonul Colak, Jun Yang)

Using Available Data to Estimate Firm Marketing Mix Spending: A Novel Approach to an Old Problem. (With Dmitri Markovitch, Dongling Huang), R&R at *the Journal of Marketing*

What Types of Bondholders Impede Firm Innovation Activities? (with Jon O'Brien, Iftekhar Hasan), under review at *Organization Science*

Stock Price Fragility and Bank Loan Cost (with Iftekhar Hasan, Victor Shen)

Leadership in the Portfolio: Corporate Executives and Mutual Fund Investment Decisions. (with Qinghai Wang)

How Do Large Shareholders Vote? Evidence from Actively Managed Mutual Funds.

How Do Mutual Funds Use Selling Brokers to Influence Investors? Evidence from Mutual Fund Managers' Use of 12b-1 Fees.

PUBLICATIONS IN CHINESE

Government Regulations and Opportunistic M&As in China. 2002, *Journal of Economic Research (Jingji Yanjiu)*, the top academic journal in economics in China (With Chen Xinyuan and Chen Donghua)

Free to Choose? A Case Study on the Financing Behavior of Chinese State-Run Enterprises. 2000, *Management World (Guanli Shijie)*, the top academic journal in management in China).

TEACHING EXPERIENCE

Doctoral Seminar on Research in Institutional Investors (Ph.D. Course)

Investments (Graduate Course)

Trading & Investing (Graduate Course)

International Finance (Graduate Course)

Financial Management (MBA Course)

Fixed Income Securities (Undergraduate Course)

Equity Securities (Undergraduate Course)

Managerial Finance (Undergraduate Course)

CONFERENCE AND INVITED PRESENTATIONS

2016.10	Financial Management Association Conference, Las Vegas
2016.05	SFS Finance Cavalcade, Toronto
2015.10	Financial Management Association Conference, Orlando
2014.10	Financial Management Association Conference, Nashville
2014.04	Fordham University, New York
2013.10	Financial Management Association Conference, Chicago
2012.11	University of California-Riverside, CA
2012.04	Fordham University, New York
2011.06	Financial Intermediation Research Society Conference, Sidney, Australia
2011.03	Bangor University, Wales, U.K.
2010.11	CRSP Forum, University of Chicago, Chicago
2010.10	Financial Management Association Conference, New York City
2010.05	Rutgers University, New Jersey
2008.06	Shanghai University of Finance and Economics, China
2007.10	Financial Management Association Conference, Orlando
2006.11	CRSP Forum, University of Chicago, Chicago
2005.10	Financial Management Association Conference, Chicago

INVITED DISCUSSIONS

2014	Financial Market Symposium on Hedge Funds, SUNY-Albany
2014	FMA Applied Finance Conference, New York City
2013	Financial Management Association Conference, Chicago, Special Panelist
2012	FMA Applied Finance Conference, New York City
2012	Financial Management Association Conference, Atlanta
2011	Financial Management Association Conference, Denver
2010	Triple Crown Conference, CUNY-Baruch
2007	Financial Management Association Conference, Orlando

AD HOC REFEREE SERVICES

Journal of Finance	Journal of Financial and Quantitative Analysis (JFQA)
Journal of Banking and Finance	Journal of Economic Behavior and Organization (JEBO)
Journal of Financial Stability	Quarterly Review of Economics and Finance
Financial Review	Review of Financial Economics

AWARDS AND HONORS

2014	<i>The Student Award</i> , by the Graduating MBA Class for Best Teaching, RPI
2002-2007	Chancellor Fellowship, University of Wisconsin-Milwaukee
1998-2001	Citibank Fellowship, Shanghai University of Finance and Economics

OTHER PROFESSIONAL ACTIVITIES

Virginia Tech	Research Committee
RPI	MBA Program Committee, PhD Committee, Value Committee, PhD Dissertation Committee Member, Advising MF Program

PROFESSIONAL AFFILIATIONS

American Economic Association
American Finance Association
Financial Management Association
Western Finance Association