

JIN XU

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ACADEMIC EXPERIENCE

- 2014-present Virginia Tech, Pamplin College of Business
Assistant Professor of Finance
- 2007-2014 Purdue University, Krannert School of Management
Assistant Professor of Finance

EDUCATION

- 2002-2007 University of Chicago, Booth School of Business
Ph.D. in Finance
M.B.A.
- 1999-2002 Peking University, Guanghua School of Management, China
M.A. in Finance (with honors)
- 1995-1999 Renmin University of China, School of Economics
B.A. in Economics (with honors)

REFEREED PUBLICATIONS

- Golden hellos: Signing bonuses for new top executives (with Jun Yang)
Journal of Financial Economics 122(1), October 2016, pages 175-195.
- Taxes and capital structure (with Mara Faccio)
Journal of Financial and Quantitative Analysis 50(3), June 2015, pages 277-300. Lead article.
William F. Sharpe Award as the best paper published in the 2015 Volume of the JFQA.
- Insider trading restrictions and top executive compensation (with David J. Denis)
Journal of Accounting and Economics 56(1), July 2013, pages 91-112.
- How do ex-ante severance pay contracts fit into optimal executive incentive schemes? (with P. Raghavendra Rau)
Journal of Accounting Research 51(3), June 2013, pages 631-671.
- Profitability and capital structure: Evidence from import penetration
Journal of Financial Economics 106(2), November 2012, pages 427-446.

WORKING PAPERS

- Taxes, capital structure choices, and value (with Mara Faccio)
Revise and Resubmit, *Journal of Financial and Quantitative Analysis*.

- Affiliated corporate donations and director independence (with Ye Cai, and Jun Yang)
- Mandatory compensation disclosure, CFO pay, and corporate financial reporting practices (with Hongyan Li)
- CEO option sensitivity to dividend yield and its impact on corporate dividend policy (with Huseyin Gulen)
- Hedge fund activism and bank loan contracting (with Yinghua Li)

INVITED SEMINARS AND CONFERENCE PRESENTATIONS

- 2017 John L. Weinberg Center for Corporate Governance Symposium, University of Delaware, scheduled.
- 2016 NBER Spring Meetings, Law and Economics Program, Boston, MA
Northern Finance Association (NFA) annual conference, Mont Tremblant, Quebec, Canada
- 2015 American Finance Association (AFA) annual conference, Boston, MA
Midwest Finance Association (MFA) annual meetings, Chicago, IL
Drexel University Corporate Governance Conference, Early Ideas Session, Philadelphia, PA
- 2014 Virginia Tech
Financial Intermediation Research Society (FIRS) Conference, Quebec City, Quebec, Canada
NBER Summer Institute, Corporate Finance Workshop, Boston, MA
25th Conference on Financial Economics and Accounting (CFEA), Atlanta, GA
11th Annual Conference on Corporate Finance, Early Ideas Session, Washington University in St. Louis
- 2013 Georgia State University, North Carolina State University, Texas A&M University
Drexel University Corporate Governance Conference, Early Ideas Session, Philadelphia, PA
NBER Summer Institute, Law and Economics Workshop, Boston, MA
State of Indiana Finance Symposium, Kelley School of Business, Indiana University
24th Conference on Financial Economics and Accounting (CFEA), Chapel Hill, NC
- 2012 Michigan State University, University of Toronto
American Finance Association (AFA) annual conference, Chicago, IL
SFS Finance Cavalcade, University of Virginia
- 2011 American Finance Association annual conference (AFA), Denver, CO
13th Annual Texas Finance Festival, Horseshoe Bay, TX
State of Indiana Finance Symposium, University of Notre Dame
- 2010 American Finance Association (AFA) annual conference, Atlanta, GA
Drexel University Corporate Governance Conference, Philadelphia, PA
Center for Research and Security Prices (CRSP) Forum, Chicago, IL
- 2009 Northern Finance Association annual conference, Ontario, Canada

- Midwest Finance Association annual meetings, Chicago, IL
 State of Indiana Finance Symposium, Purdue University
- 2008 Western Finance Association annual conference (WFA), Hawaii
 State of Indiana Finance Symposium, University of Notre Dame
- 2007 UC Davis, UC Irvine, University of Chicago, Chinese University of Hong Kong, Columbia University, University of Iowa, National University of Singapore, University of Notre Dame, Purdue University, Rice University
 The 9th Biennial Finance Symposium, Kelley School of Business, Indiana University
- 2006 NBER Fall Meetings, Corporate Finance Program, Boston, MA

PROFESSIONAL ACTIVITIES

Referee:

Journal of Finance, Journal of Financial Economics, Review of Financial Studies, Journal of Financial and Quantitative Analysis, Management Science, Journal of Corporate Finance, Financial Management, Journal of Empirical Finance, Financial Review, Journal of Financial Stability, Pacific-Basin Finance Journal, Management Research Review, Annals of Finance, Australian Journal of Management

Conference program committee:

2014 and 2016 Financial Management Association (FMA),
 2013-2016 European Finance Association (EFA),
 2012 SFS Finance Cavalcade Conference

Conference session organizer:

2014 Financial Management Association (FMA)

Conference discussions:

2014 AFA Philadelphia, PA
 2009 AFA San Francisco, CA
 Midwest Finance Association annual meetings, Chicago, IL
 2007 The 4th Annual Conference on Corporate Finance, Washington University in St. Louis

Grant reviewer:

Hong Kong Research Grants Council

Member:

American Finance Association (2003 – present), Western Finance Association (2007 – present),
 European Finance Association (2009 – present).

TEACHING EXPERIENCE

Dissertation/Thesis committee:

Co-Chair: Hongyan Li (PhD, Finance; In progress)

Member: Jitendra Tayal (PhD, Finance; Defended: Spring 2016)

Anh Nguyen (PhD, Finance; Defended: Fall 2015)

Yanbing Wang (PhD, Agricultural Economics; In progress)

Timothy Trombley (PhD, Finance; Defended: Spring 2014)

Adam Yin (PhD, Finance; Defended: Spring 2013)

Tony Liu (PhD, Finance; Defended: Spring 2012)

Cheryl Qi (PhD, Economics; Defended: Spring 2010)

Rachel Graefe-Anderson (PhD, Finance; Defended: Spring 2010)

Hongfei Tang (PhD, Finance; Defended: Spring 2008)

Course instructor:

Corporate Finance Research Seminar (FIN 6115), Ph.D. course, fall 2016

Corporate Finance (FIN 3154), undergraduate seniors, 2014-present

Finance major core course

Teaching evaluations (on Instructor): 5.3/6

Advanced Corporate Financial Management (MGMT 413), undergraduate seniors, 2007–2014

Senior elective, case-based

Teaching evaluations (on Instructor): 4.7/5

Venture Capital and Investment Banking (MGMT 644), full-time MBAs, fall 2012

Teaching evaluations (on Instructor): 4.4/5

Corporate Finance Ph.D. Research Seminar (MGMT 691), Ph.D. course, 2009–Present.

Teaching evaluations (on Instructor): 5/5

Teaching assistant:

Corporation Finance (Bus 35200), full-time, evening and weekend MBAs, spring 2005

(Grading and weekly review sessions)

Investments (Bus 35000), full-time, evening and weekend MBAs, summer 2003

(Grading and weekly review sessions)

RESEARCH GRANTS

Virginia Tech University Assistant Professor Mentoring Grant, 2015.

Purdue Research Foundation (PRF) Summer Faculty Research Grant, 2013.

Center for International Business Education and Research (CIBER) Award, Purdue University, 2009.

Purdue Research Foundation (PRF) Summer Faculty Research Grant, 2009.

HONORS AND AWARDS

William F. Sharpe Award for being the best paper published in the 2015 Volume of the *Journal of Financial and Quantitative Analysis*, 2016.

Undergraduate Distinguished Teacher, Purdue University, 2014.

Fischer Black Doctoral Fellowship, University of Chicago, 2006.

Annual graduate fellowships, University of Chicago, 2002-2006.

Center for Research in Security Prices (CRSP) Research Award, 2003.

Best Graduate of Peking University, 2002.

Tokyo Mitsubishi Scholarship, Peking University, 2001.

Best Graduate of Renmin University, 1999.

The First Class University Scholarship, Renmin University of China, 1998.

Shen Quan Scholarship, Renmin University of China, 1997.

Wu Dakun Scholarship, Renmin University of China, 1997.

INSTITUTIONAL SERVICES

Pamplin College of Business Multicultural Diversity Committee member: 2014-present

Department Faculty search committee member: 2007-present

Department Ph.D. program committee member: 2007-present

Krannert School of Management Undergraduate Finance Major review committee: 2013

Department seminar coordinator: 2008, 2012

PUBLICITY

The Harvard Law School Forum on Corporate Governance and Financial Regulation, November 7, 2016: "Golden Hellos: Signing Bonuses for New Top Executives".

CFA Digest, February 2016, Volume 46 Issue 2: "Taxes and Capital Structure (Digest Summary)".

Pittsburgh Post-Gazette, October 13, 2013: "Heard Off the Street: Insider trading, CEO pay linked".

The Harvard Law School Forum on Corporate Governance and Financial Regulation, February 11, 2013: "Ex-Ante Severance Pay Contracts and Optimal Executive Incentive Schemes".

NerdWallet Investing, November 19, 2012: "Expert FAQ: Why Insider Trading Hurts Us, and How We Can Fix It".

Listed on the Ohio State University's Worldwide Directory of Finance Faculty and Professionals.

Listed on the Brigham Young University's Accounting Research Rankings.

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